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|             |   |   | ST   | ATEMENT UI                         | NDER 37 CFR                       | 3.73(b)                     | Atty. Docket No. 2584.0300001/RWE/GER                               |  |  |  |
|-------------|---|---|--|------------------------------------|-----------------------------------|-----------------------------|---|--|--|--|
| Applican    | nt/Patent Ow  | ner: <u>HO</u>  | RMANN, Robe                                  | ert Eugene                         |                                   |                             |   |  |  |  |
| Applicati   | ion No./Pater   | nt No.:   | 7,304  | ,162                               | Filed/lss                         | sue Date:                   | December 4, 2007  |  |  |  |
| Titled:     | Oxadiazo<br>Receptor  |   |  | lating The Ex                      | xpression of l                    | Exogenous                   | Genes Via An Ecdysone   |  |  |  |
|             | Intrex  | on Corp   | oration                                      | , a                                |                                   | Cc                          | orporation  |  |  |  |
|             |   |   |  |                                    |                                   |                             | artnership, university, government agency, etc.                     |  |  |  |
| states th   | at it is:   |   |  |                                    |                                   |                             |   |  |  |  |
| 1. X        | the assigr  | nee of the  | entire right, title, ar                      | nd interest in;                    |                                   |                             |   |  |  |  |
| 2.          | an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is  |   |  |                                    |                                   |                             |   |  |  |  |
| 3.          | the assigr  | the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made) |  |                                    |                                   |                             |   |  |  |  |
| the pater   |   |   | entified above, by v                         |                                    |                                   |                             |   |  |  |  |
| A<br>OR     | An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy therefore is attached. |   |  |                                    |                                   |                             |   |  |  |  |
| В. <u>X</u> | A chain of  | title from  | the inventor(s), of t                        | the patent appli                   | cation/patent ide                 | entified above              | e, to the current assignee as follows:                              |  |  |  |
| <u></u>     | 1. From:  |   |  |                                    |                                   |                             | RGH LLC   |  |  |  |
|             |   |   | ment was recorded                            | •                                  | ·                                 |                             |   |  |  |  |
|             |   |   |  |                                    |                                   |                             | which a copy thereof is attached.                                   |  |  |  |
|             | 2. From:  | 2. From: RGH LLC  |  | To                                 | o: New Rheogene I LLC             |                             |   |  |  |  |
|             |   | The docu  | ment was recorded                            | I in the United S                  | States Patent an                  | nd Trademark                | COffice at  |  |  |  |
|             |   | Reel  | 019157                                       | _,Frame                            | 0310                              | , or for v                  | which a copy thereof is attached.                                   |  |  |  |
|             | 3. From:  |   | HORMANN, R                                   | Robert E. et al.                   | То                                | ):                          | Rohm and Haas Company   |  |  |  |
|             |   | The docu  | ment was recorded                            | I in the United S                  | States Patent an                  | nd Trademark                |   |  |  |  |
|             |   | Reel  | 019152                                       | _,Frame                            | 0810                              | , or for v                  | which a copy thereof is attached.                                   |  |  |  |
| X           | Additiona   | al documer  | nts in the chain of t                        | itle are listed or                 | n a supplementa                   | al sheet(s).                |   |  |  |  |
| X As        | s required by<br>concurrently   | 37 CFR is being,  | 3.73(b)(1)(i), the do<br>submitted for recor | ocumentary evi<br>dation pursuan   | dence of the chart to 37 CFR 3.1  | ain of title fro<br>1.      | m the original owner to the assignee was,                           |  |  |  |
| [N<br>ac    | IOTE: A sepa<br>ecordance wi  | arate copy<br>th 37 CFR   | (i.e., a true copy of Part 3, to record the  | of the original a<br>he assignment | essignment docuing the records of | ument(s)) mu<br>f the USPTO | st be submitted to Assignment Division in . <u>See</u> MPEP 302.08] |  |  |  |
| The unde    | ersigned (who   | ose title is  | supplied below) is                           | authorized to a                    | ct on behalf of t                 | the assignee.               | May 6, 2009   |  |  |  |
| S           | Signature   | 0   |  |                                    |                                   |                             | Date  |  |  |  |
|             | Grant   | E. Reed   | , Reg. No. 41,2                              | 64                                 |                                   |                             | Attorney for Applicants   |  |  |  |
| F           | Printed or Typ  |   |  |                                    | Title                             |                             |   |  |  |  |

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Statement Under 37 CFR 3.73(b) (PTO/SB/96) Supplemental Sheet for Chain of Title, Item B

| Applic | ant/Owner:  | HORMAN  | N, Robert Eugene        |             |  |  |  |  |  |  |  |
|--------|---|---|-------------------------|-------------|--|--|--|--|--|--|--|
| Applic | cation No./Pa   | tent No.:   | 7,304,162               |             |  |  |  |  |  |  |  |
| Applic | ation Date/Is   | sue Date:   | December 4, 2007        |             |  |  |  |  |  |  |  |
| Title: | Oxadiazolir<br>Receptor C   | ie Ligands :<br>omplex  | for Modulating The      | Expression  | of Exogenous Genes Via An Ecdysone                     |  |  |  |  |  |  |
| Name   | of Assignee:  | Intrexon  | Corporation             |             |  |  |  |  |  |  |  |
| Type o | of Assignee:  | Corporat  | tion                    |             |  |  |  |  |  |  |  |
| Part B | Continued: A  | Additional D  | ocuments in the Chai    | in of Title |  |  |  |  |  |  |  |
| 4.     |   |   |                         |             | University of Pittsburgh Medical Center                |  |  |  |  |  |  |
|        |   |   |                         |             | nd Trademark Office at, or for which a copy thereof is |  |  |  |  |  |  |
| 5.     | From: U   | Jniversity of P   | ittsburgh Medical Cente | r To:       | Rheogene Holdings, Inc.                                |  |  |  |  |  |  |
|        | The docume  | The document was recorded in the United States Patent and Trademark Office at |                         |             |  |  |  |  |  |  |  |
|        | Reelattached.   | 019138  | , Frame                 | 0729        | , or for which a copy thereof is                       |  |  |  |  |  |  |
| 6.     |   |   |                         |             | Rheogene, Inc. (DE Corporation)                        |  |  |  |  |  |  |
|        | The document was recorded in the United States Patent and Trademark Office at |   |                         |             |  |  |  |  |  |  |  |
|        | Reel  | 022473  | , Frame                 | 0642        | , or for which a copy thereof is                       |  |  |  |  |  |  |
|        | attached.   |   |                         |             |  |  |  |  |  |  |  |
| 7.     |   |   |                         |             | Intrexon Corporation                                   |  |  |  |  |  |  |
|        |   |   |                         |             | nd Trademark Office at                                 |  |  |  |  |  |  |
|        |   | 019150  | , Frame                 | 0044        | , or for which a copy thereof is                       |  |  |  |  |  |  |
|        | attached.   |   |                         |             |  |  |  |  |  |  |  |
|        |   |   |                         |             |  |  |  |  |  |  |  |